

# 18/C  
9-19-02  
Mullen  
PATENT  
Customer No. 22,852  
Attorney Docket No. 04329.2306



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Yoshio OZAWA, et al. ) Group Art Unit: 2826  
Serial No.: 09/559,757 ) Examiner: Mondt, Johannes P.  
Filed: April 27, 2000 )  
For: SEMICONDUCTOR DEVICE AND )  
METHOD OF MANUFACTURE )  
THEREOF )

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**AMENDMENT**

In response to the Office Action of June 19, 2002, with a period for response extending through September 19, 2002, please amend the application as follows:

**IN THE CLAIMS:**

Please cancel claim 4 without prejudice or disclaimer of the subject matter therein, and amend claim 1 as follows:

C1

1. (Three Times Amended) A semiconductor device comprising:  
a semiconductor substrate having a main plane in which a channel of a transistor is formed, the semiconductor substrate comprising a first region and a second region defined in a section taken along a direction of a channel length, the second region having a surface located lower than that of the first region, and the second region being connected to the first region;